Presenters/Staff

Karen Walker, Program Administrator
(360) 725-3516 karen.walker@del.wa.gov

Kathy Blodgett, Program Consultant
(360) 725-3520 kathy.blodgett@del.wa.gov

Kathy Grant-Davis, Program Consultant
(360) 725-3519 kathryn.grant-davis@del.wa.gov

Linda Jennings, SICC Coordinator
(360) 725-3514 linda.jennings@del.wa.gov
Presenters/Staff

Darlene Woods, Contracts Manager
(360) 725-3511  darlene.woods@del.wa.gov

Juliet Jack, Fiscal Manager
(360) 726-3512  juliet.jack@del.wa.gov

Bob Morris, Data Manager
(360) 725-3507  bob.morris@dshs.wa.gov

Terri Jenks-Brown, Assistant Data Manager
(360) 725-3513  terri.jenks-brown@del.wa.gov

Kevan Wilkerson, Software Developer
Agenda

Welcome/Introductions

Topics

• Current and Future Accountability in Early Intervention
• New Regulations
• Application for Federal Funds
• ESIT Technical Assistance and Training Resources
• ESIT Cost Study and New Rate Study
• New ESIT DMS

Questions
Accountability Topics

• Annual Performance Report

• State Status Determination & Local Status Determination

• Federal Monitoring and Technical Assistance

• State Monitoring and Technical Assistance
Accountability

• Annual Performance Report for FFY 2010-2011

  ▪ Submitted February 2, 2012
  ▪ Nine compliance indicators (1, 7, 8ABC, 9 - 14)
  ▪ Five performance indicators (2,3,4,5,6)
  ▪ Most APR data is obtained through the DMS
  ▪ Lots of new improvement activities were included because of ARRA funding
  ▪ Data continues to reflect a reasonably high level of compliance
Accountability

- State Status Determination & Local Status Determination is based on APR compliance data that includes the correction of previously identified noncompliance.

- The four determination categories are:
  - Meets Requirements (in meeting the purposes of Part C)
  - Needs Assistance (in meeting the purposes of Part C)
  - Needs Intervention (in meeting the purposes of Part C)
  - Needs Substantial Intervention (in meeting the purposes of Part C)
Accountability

- Local data is aggregated and reported to OSEP in the ESIT APR
- State aggregated local APR data is used to make the state determination
- Local APR data is used to make local determinations
- State and local determination data must be reported to the public annually
Accountability

• ESIT Annual Determination
  ▪ 2005-2006 - determined to “Need Assistance”
  ▪ 2006-2007 - determined to “Needs Assistance”
  ▪ 2007-2008 - determined to “Needs Assistance”
  ▪ 2008-2009 - determined to “Meets Requirements”
  ▪ 2009-2010 - determined to “Meets Requirements”
  ▪ 2010-2011 - pending
Monitoring
Federal Monitoring

• Federal monitoring system will be changing.
• ESIT is not scheduled to be monitored until 2014
• A new monitoring system will change the emphasis – decrease focus on compliance, increase focus on improved results
• The new approach is now “results-driven accountability”
• State early Intervention programs need time to improving the quality and extent of early childhood outcome data
State Monitoring

- The state monitoring system will be changed after the federal system is changed
- ESIT will continue to gather compliance monitoring data from the DMS
- ESIT will implement a new local lead agency self-monitoring tool process during the coming contract period
- ESIT will develop an onsite focused monitoring process over the coming year
Technical Assistance and Training
Washington Training and Technical Assistance Cadre (WATTAC)

- Trainers that provide early intervention technical assistance and training or provide required services
  - Sound Options Mediation Services
  - Washington Sensory Disabilities Services
  - FRC and EIS Training Modules
  - Autism Outreach Services
  - PAVE Family Participation
  - Early Intervention Professional Development (Kris Slentz and Kellie Horn)
Washington Training and Technical Assistance Cadre (WATTAC)

- WATTAC Activities
  - Convenes monthly calls to share resources and training dates
  - Provides opportunity to share information and establish common language around training priorities and activities
  - Helps identify, prioritize, plan and deliver statewide training
  - Recent focus – using competencies to revise, coordinate and plan
Guidance Materials and Information

- Practice Guides, Guidelines and Tools
  - Evaluation, Assessment and the Initial IFSP
  - Identifying the Parent for Infants and Toddlers in Foster or Out-of-Home Care
  - Autism Guidelines
- Online Early Intervention Training Modules
  - Module 1 – Introduction to Part C
  - Module 2 – Functional Assessment
  - Module 3 – IFSP Process
- Videos
  - Families are Important
  - Our Next Step – Transition for Parents
Increased Communication

• Quarterly Local Lead Agency Trainings
  ▪ Critical Conversations and Effective Collaboration in the IFSP
  ▪ Linking Assessment and Services
  ▪ Infant Mental Health and Early Intervention
  ▪ EHHDDI Presentation

• Monthly Local Lead Agency Calls
  ▪ Topics determined by callers

• Weekly Data Management System Calls
  ▪ DMS update, Q & A
Increased Communication

• Written Quarterly Program Updates
• Fast Facts – Annual Data and ESIT
• Fast Facts – ESIT Race to the Top Challenge
• Annual APR Data Report Card
• “Keeping Up with Changes in Early Intervention”
Improved Forms and TA Documents

- Parent Rights Long and Short Document
  - In process of making changes based on new regulations.
  - Revised forms will be available by July 1
- Procedural Safeguards Requirements Technical Assistance and Training Guide
  - Revised Training Guide will be available by July 1
Improved Forms and TA Documents

- IFSP Process and Resource Guide
  - revisions have been recommended and are in the process of being finalized
- Guiding Concepts and Technical Assistance Guide
- Monthly Program Data

Note: All documents are available on the ESIT website
New Part C Regulations
New Regulations and Highlights

• Implementation Timeline
• Organization of the regulations related to service components has changed
• Child Find Changes
• Finance Provisions
• Transition Requirements
• Start of the 45-day timeline & exceptional family circumstances
New Regulations Resources

• NECTAC Resources

• IDEA Part C 2004

Note: Highlights adapted from presentation developed by Sharon Walsh for the IDEA Infant Toddler Coordinators Association and the Division of Early Childhood Council for Exceptional Children
Implementation Timeline

• Final regulations were published in *Federal Register* September 28, 2011
• Became effective October 28, 2011
• New regulations must be implemented by July 1, 2012
• Written policies and procedures must be in place July 1, 2013
• Public comment and hearings required
Child Find Changes

• Requires “rigorous standards” to appropriately identify children to reduce need for future services

• Added programs for coordination of child find efforts
  ▪ Home Visiting
  ▪ Child Protection and Welfare including CAPTA
  ▪ Family Violence Prevention and Services Act
  ▪ Early Hearing Detection and Intervention (EHDI)
  ▪ Children’s Health Insurance Program (CHIP)
  ▪ Child Care
Child Find Changes

• Added CAPTA language with clarification that intent is not to include siblings of child, but only child “substantiated”

• Requires referral as soon as possible, but in no case more than 7 days, after the child has been identified
Primary Referral Sources

- Added:
  - Public agencies and staff in the child welfare system, including child protective services and foster care
  - Homeless family shelters
  - Domestic violence shelters and agencies
45 Day Timeline

• Retains 45 day timeline from date the lead agency or EIS provider receives a referral to the IFSP meeting

• Establishes two circumstances in which 45 day timeline would not apply:
  - Child or parent is unavailable due to exceptional family circumstances
  - Parent has not provided consent despite documented repeated attempts
Evaluation

• An evaluation is required unless eligibility has been determined based upon a diagnosed condition through review of records

• If child is found eligible, the following are required:
  ▪ Multidisciplinary assessment of child (including review of evaluation results, observation, etc.)
  ▪ Family-directed assessment of the family (using assessment tool and interview with those family members who elect to participate)

• Evaluation and assessment must be conducted in the native language unless clearly not feasible
Informed Clinical Opinion

• Used by qualified personnel when conducting evaluation and assessment
• Used as independent basis to establish a child’s eligibility even when instruments do not establish eligibility
• In no event may ICO be used to negate the results of evaluation instruments


Informed Clinical Opinion by Jo Shackelford
NECTAC Notes, Issue 10, May 2002
Finance Provisions

- Cannot require parent to enroll in Medicaid or other public insurance program
- Need consent to use Medicaid if parent not already enrolled or will incur any cost
- Requires written notice if state wants to use Medicaid including consent to release personal information to Medicaid agency
- Requires consent for use of private insurance each time consent for services is required unless state legislation meets specified requirements
Finance Provisions

• Requires parent get copy of family cost participation policies and procedures, identifying potential costs that parent may incur

• Co-pays, deductibles and premiums are family costs

• Prohibits disproportionate family cost related to public and private insurance

• Families cannot be charged more than actual cost of service
Finance Provisions

“"A State may establish, consistent with §§303.13(a)(3) and 303.203(b), a system of payments for early intervention services under Part C of the Act, including a schedule of sliding fees or cost participation fees (such as co-payments, premiums, or deductibles) required to be paid under Federal, State, local, or private programs of insurance or benefits for which the infant or toddler with a disability or the child’s family is enrolled, that meets the requirements of §§303.520 and 303.521.”"
Finance Provisions

• Each application must include a certification to the Secretary that the arrangements to establish financial responsibility for the provision of Part C services among appropriate public agencies under §303.511 and the lead agency’s contracts with EIS providers regarding financial responsibility for the provision of Part C services both meet the requirements in subpart F of this part (§§303.500 through 303.521) and are current as of the date of submission of the certification.
Transition

- Includes timelines for ONE notification of children who “may be eligible for special education”
- Includes option for an opt-out policy
- Requires notification to LEA and SEA
- Clarifies transition plan is not separate document – part of IFSP
- Requires interagency and intra-agency agreements
- Transition conference must meet IFSP requirements
Transition

• Transition Plan must be developed in the IFSP not fewer than 90 days – and at the discretion of all parties, not more than 9 months – before the toddlers 3\textsuperscript{rd} birthday
Transition Notification

- No fewer than 90 days before 3\textsuperscript{rd} birthday, if child “may be eligible for preschool services under Part B”, must notify SEA and LEA of the child

- If Part C eligibility is determined more than 45 days but less than 90 days before 3\textsuperscript{rd} birthday, and child “may be eligible for preschool”, must notify SEA and LEA as soon as possible after determining eligibility

- If child is referred fewer than 45 days before 3\textsuperscript{rd} birthday, and child “may be eligible for preschool” with parental consent, must notify SEA and LEA – no eligibility determination for Part C required
Transition Conference

• For a child who “may be eligible” for preschool services under Part B:
  - Not fewer than 90 days, but not more than 9 months prior to the child’s third birthday
  - With the approval of the family, must convene conference with Lead agency, family, LEA

• For other children, the lead agency with approval of family, must make reasonable effort to convene a conference with family and other appropriate providers of service
Federal Application
Application for Federal Funds

• State Interagency Agreement
  Facilitated by the Department of Early Learning with:
  ▪ Health Care Authority New Signer
  ▪ Department of Social and Health Services
  ▪ Department of Health
  ▪ Department of Services for the Blind
  ▪ Office of the Superintendent of Public Instruction

• Policies, Procedures, Assurances
• Budget
Application for Federal Funds

• Budget

  ▪ FFY 2012 - $8,763,854
    ▪ 82% direct service contracts ($7.1 m)
    ▪ 7% Training and technical assistance ($626,546)
    ▪ .14% SICC ($12,000)
    ▪ 10% ESIT administration and DMS staff ($919,964)

  ▪ FFY 2013 - $8,832,740

  ▪ Notified on 4/30 ESIT will receive approximately a $68,886 increase for the coming year
Cost Study
ESIT Cost Study Recommendations

• Improve provider access to Medicaid funding – all early intervention providers should be able to bill Medicaid

• Improve provider access to private insurance – private insurance is underutilized due to provider type

• Consider centralizing DD and state special education funding in DEL

• Review and revise Part C federal funding formula
ESIT Cost Study Recommendations

- Collect consistent data on local costs and revenues for early intervention
- ESIT to provide clear fiscal policy guidance to local agencies and service providers
ESIT Rate Study

- Contract with Berk Consulting for the purpose of establishing early intervention rates based upon actual costs
- Establishing rates for early intervention will help to inform rate setting with early intervention fund sources (Medicaid, private insurance, state special education, developmental disabilities, etc.)
- Rate Study work will be completed by the end of September
ESIT Data Management System
New ESIT DMS

• The new system is functioning at 95%
• Still in the process of “stabilizing” – working through bugs
• Additional enhancements coming
• Sign up to be on Bob’s listserv to get the most current DMS information – join at: listserv.wa.gov (DEL-ESIT-DMS-NEWS)
New ESIT DMS

• Participate in weekly “talks with Bob”- your Local Lead Agency will be able to share call in information
• Join the SICC Data Committee

• Please send DMS questions or request for help to esit.help@del.wa.gov or call: 866-482-4325 (first, hit option 9 then option 2)
State Resources

ESIT website

ESIT Publications and Documents

ESIT Program Updates

ESIT Contacts Directory
Federal Resources

National Early Childhood Technical Assistance Center (NECTAC)
http://www.nectac.org

National Early Childhood Transition Center
http://www.ihdi.uky.edu/nectc/

The National Early Childhood Outcomes Center (ECO)
http://www.fpg.unc.edu/~eco/index.cfm
Questions